



## RAW SEQUENCE LISTING ERROR REPORT



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 10/725,629  
Source: EFW  
Date Processed by STIC: 10/29/04

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.2 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

<http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/ebs/efs/downloads/documents.htm>> , EFS Submission User Manual - cPAVE)
2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 06/05/04):  
U.S. Patent and Trademark Office, 220 20<sup>th</sup> Street S., Customer Window, Mail Stop Sequence, Crystal Plaza Two, Lobby, Room 1B03, Arlington, VA 22202

Revised 05/17/04

# Raw Sequence Listing Error Summary

## ERROR DETECTED

## SUGGESTED CORRECTION

SERIAL NUMBER:

10725629

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

1 Wrapped Nucleos  
Wrapped Aminos The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."

2 Invalid Line Length The rules require that a line not exceed 72 characters in length. This includes white spaces.

3 Misaligned Amino  
Numbering The numbering under each 5<sup>th</sup> amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.

4 Non-ASCII The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.

5 Variable Length Sequence(s) contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing

6 PatentIn 2.0  
"bug" A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s). Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.

7 Skipped Sequences  
(OLD RULES) Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence:  
(2) INFORMATION FOR SEQ ID NO: X (insert SEQ ID NO where "X" is shown)  
(i) SEQUENCE CHARACTERISTICS (Do not insert any subheadings under this heading)  
(ii) SEQUENCE DESCRIPTION-SEQ ID NO: X (insert SEQ ID NO where "X" is shown)  
This sequence is intentionally skipped

Please also adjust the "(ii) NUMBER OF SEQUENCES" response to include the skipped sequences

8 Skipped Sequences  
(NEW RULES) Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence:  
<210> sequence id number  
<400> sequence id number  
000

9 Use of n's or Xaa's  
(NEW RULES) Use of n's and/or Xaa's have been detected in the Sequence Listing.  
Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present in <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents

10 Invalid <213>  
Response Per 1.823 of Sequence Rules, the only valid <213> responses are Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or Artificial Sequence

11 Use of <220> Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section.  
(See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)

12 PatentIn 2.0  
"bug" Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.

13 Misuse of n/Xaa "n" can only represent a single nucleotide; "Xaa" can only represent a single amino acid

AMC - Biotechnology Systems Branch - 09/09/2003



IFWO

## RAW SEQUENCE LISTING

DATE: 10/29/2004

PATENT APPLICATION: US/10/725,629

TIME: 17:15:51

Input Set : D:\9988012999.8T25.txt

Output Set: N:\CRF4\10292004\J725629.raw

3 <110> APPLICANT: Beauparlant, Pierre  
 4 Attardo, Giorgio  
 5 Zhang, Zhiying  
 6 Stafford, Angela  
 7 Ubillas, Rosa  
 8 McAlpine, James  
 10 <120> TITLE OF INVENTION: Diterpenoid compounds, compositions thereof and their use as  
 11 anti-cancer or anti-fungal agents  
 13 <130> FILE REFERENCE: 9988-012-999  
 15 <140> CURRENT APPLICATION NUMBER: 10/725,629  
 16 <141> CURRENT FILING DATE: 2003-12-01  
 18 <150> PRIOR APPLICATION NUMBER: 60/431,096  
 19 <151> PRIOR FILING DATE: 2002-12-05  
 21 <160> NUMBER OF SEQ ID NOS: 1  
 23 <170> SOFTWARE: PatentIn version 3.2  
 25 <210> SEQ ID NO: 1  
 26 <211> LENGTH: 19  
 27 <212> TYPE: PRT  
 28 <213> ORGANISM: art  
 31 <220> FEATURE:  
 W--> 32 <221> NAME/KEY: Biotin  
 33 <222> LOCATION: (1)..(1)  
 35 <400> SEQUENCE: 1  
 37 Glu Gly Lys Arg Lys Gly Asp Glu Val Asp Gly Val Pro Asp Arg Arg  
 38 1 5 10 15  
 41 Ala Ser Val

See item # 10  
 on error  
 summary  
 sheet  
 (pg.1)

↑ Mandatory <213>  
 responses has to be  
 either Artificial/  
Unknown OR  
Genus / Species.

**VERIFICATION SUMMARY**

**PATENT APPLICATION: US/10/725,629**

**DATE: 10/29/2004**

**TIME: 17:15:52**

**Input Set : D:\9988012999.ST25.txt**

**Output Set: H:\CRF4\10292004\J725629.raw**

**L:32 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:1**